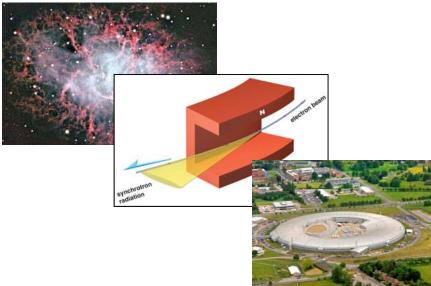


# Insertion Devices Lecture 1 Introduction to Synchrotron Radiation



Jim Clarke ASTeC Daresbury Laboratory







#### Program

- 4<sup>th</sup> Feb 10.30 Introduction to SR
- 4<sup>th</sup> Feb 11.45 Wigglers and Undulators
- 11<sup>th</sup> Feb 10.30 Undulator Radiation and Realisation
- 11<sup>th</sup> Feb 11.45 Undulator Magnet Designs
- 11<sup>th</sup> Feb 14.00 Tutorial

#### Please interrupt and ask questions during the lectures !!!



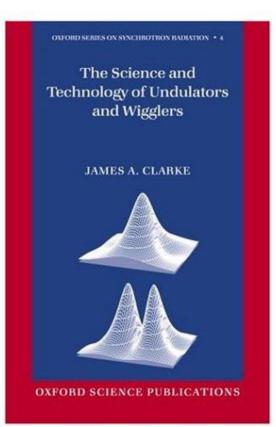
#### **Course Book**

The vast majority of the material presented is from my book, you will find much more detail in there, derivations of all the equations, and also other supplementary information.

The Science and Technology of Undulators and Wigglers, J. A. Clarke, Oxford University Press, 2004

(Oxford series on Synchrotron Radiation - 4)

It is available in the Daresbury Library





# Why is Synchrotron Radiation so Important?

All accelerator scientists and engineers need to understand SR as it impacts directly on many areas of accelerator design and performance

- RF
- Diagnostics
- Vacuum design
- Magnets
- Beam Dynamics
- It affects all charged particles
- Light sources and Free Electron Lasers are a major "customer" of advanced accelerators

All processes which change the energy of particles are important – SR is one of the most important processes



# Introduction to Synchrotron Radiation

# **Synchrotron Radiation (SR) is a relativistic effect**

Many features can be understood in terms of two basic processes:

- Lorentz contraction and
- Doppler shift

Imagine that a relativistic charged particle is travelling through a periodic magnetic field (an undulator)

In the particles rest frame it sees a magnetic field rushing towards it

If in our rest frame the magnet period is  $\lambda_u$  then because of Lorentz contraction the electron sees it as  $\lambda_u/\gamma$ 

 $\gamma$  is the relativistic Lorentz factor



#### **Lorentz Factor**

$$\gamma = \frac{E}{E_0}$$
  $\beta = \sqrt{1 - \frac{1}{\gamma^2}}$   $\beta = v/c$ 

c is the velocity of light in free space

v is the velocity of the electron

 $\beta$  is the relative velocity of the electron

E is the Electron Energy (3000 MeV in DIAMOND)

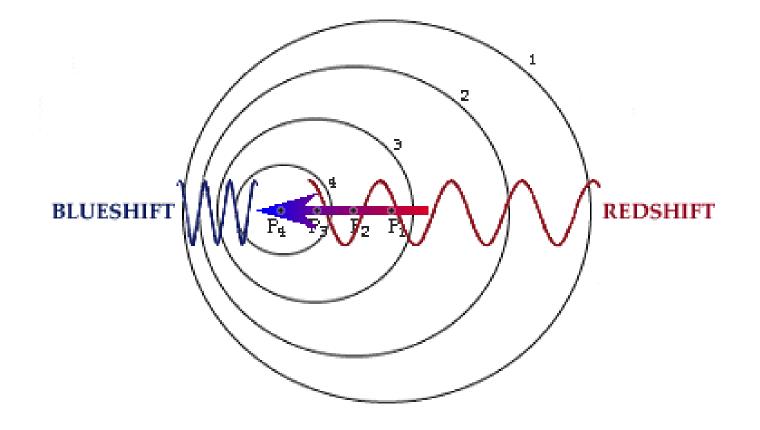
E<sub>o</sub> is the Electron Rest Energy (0.511 MeV)

So in DIAMOND,  $\gamma \sim 6000$ 

This  $\gamma$  factor turns up again and again in SR !



# **Relativistic Doppler Shift**





# **Relativistic Doppler Shift**

In the **relativistic** version of the Doppler effect the frequency of light seen by an observer at rest is

$$f = \gamma f'(1 - \beta \cos \theta')$$

Source travelling away from the observer

where f' is the frequency emitted by the moving source,  $\theta$ ' is the angle at which the source emits the light.

With the source travelling towards the observer  $\theta' = \pi$  so

$$f = \gamma f'(1+\beta)$$

In terms of wavelength

$$\lambda = \frac{\lambda'}{\gamma(1+\beta)} \sim \frac{\lambda'}{2\gamma}$$



# **Combining Lorentz and Doppler**

So the particle emits light of wavelength  $\lambda_u/\gamma$ 

Since it is travelling towards us this wavelength is further reduced by a factor  $2\gamma$ 

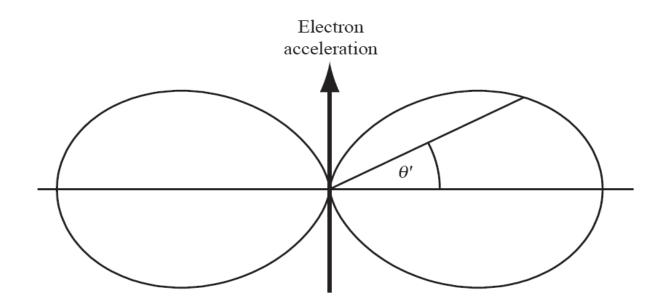
So the wavelength observed will be ~  $\lambda_u/2\gamma^2$ 

For GeV electron energies with  $\gamma$  of 1000's, an undulator with a period of a **few cm** will provide radiation with wavelengths of **nm (X-rays)** 



#### **Angle of Emission**

In the moving frame of the electron, the electron is oscillating in the periodic magnetic field with simple harmonic motion It therefore emits in the familiar dipole pattern that has a  $\sin^2 \theta'$ distribution

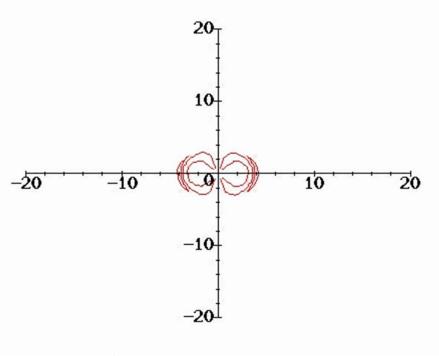


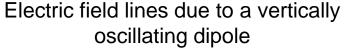


#### **Angle of Emission**

In the moving frame of the electron, the electron is oscillating in the periodic magnetic field with simple harmonic motion It therefore emits in the familiar dipole pattern that has a  $\sin^2 \theta'$ distribution











#### **Angle of Emission**

A second consequence of Doppler is that the angle with which the observer views the source will also be affected

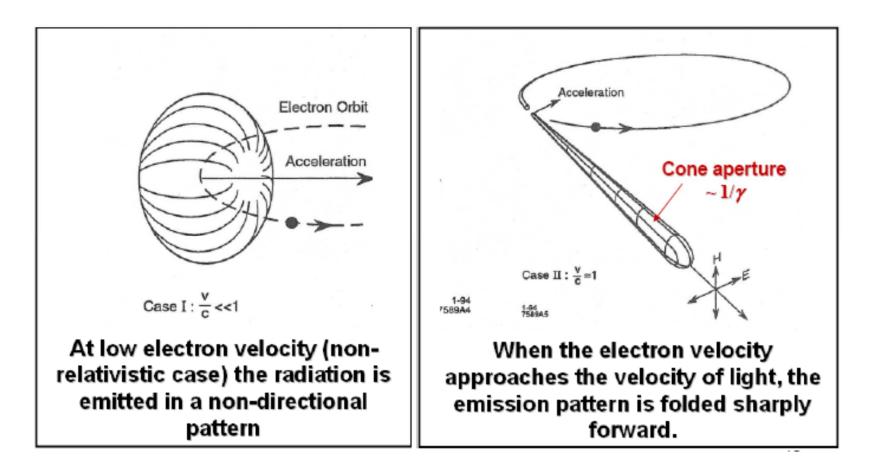
$$\tan \theta = \frac{\sin \theta'}{\gamma(\cos \theta' - \beta)}$$

So the point at which the electric dipole has zero amplitude  $(\theta' = \pm \pi/2)$  appears at the angle  $\theta \sim \pm 1/\gamma$ 

The peak of the emission is orthogonal to the direction of the electrons acceleration so for an electron on a circular path the radiation is emitted in a forward cone at a tangent to the circle



#### **Effect of Relativity**

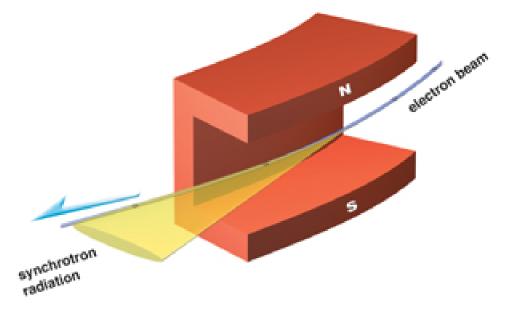




# **SR from Bending Magnets**

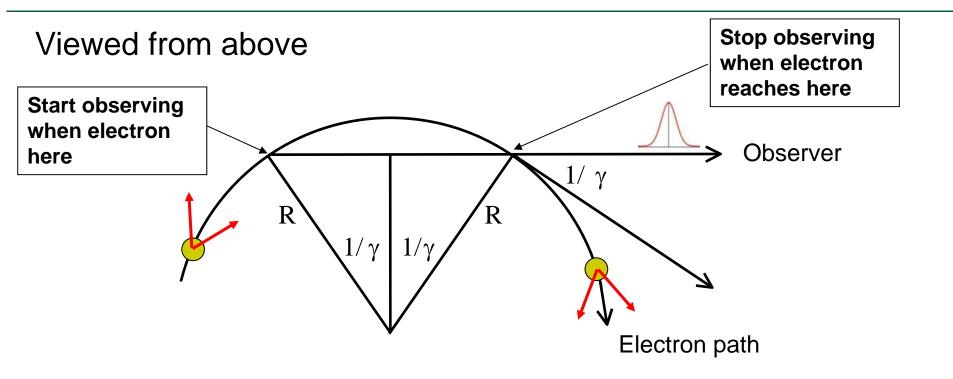
A bending magnet or dipole has a uniform magnetic field The electron travels on the arc of a circle of radius set by the magnetic field strength **Horizontally** the light beam sweeps out like a lighthouse - the intensity is flat with horizontal angle

**Vertically** it is in a narrow cone of typically  $\pm 1/\gamma$  radians





#### **SR from Bending Magnets**

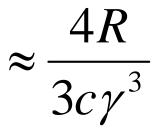


The electrons in a bending magnet are accelerated as they are forced to bend along a circular path in a strong magnetic field.

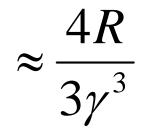


# **Typical Wavelength**

#### Pulse Duration = Time for electron - Time for photon



So, "Typical Wavelength"



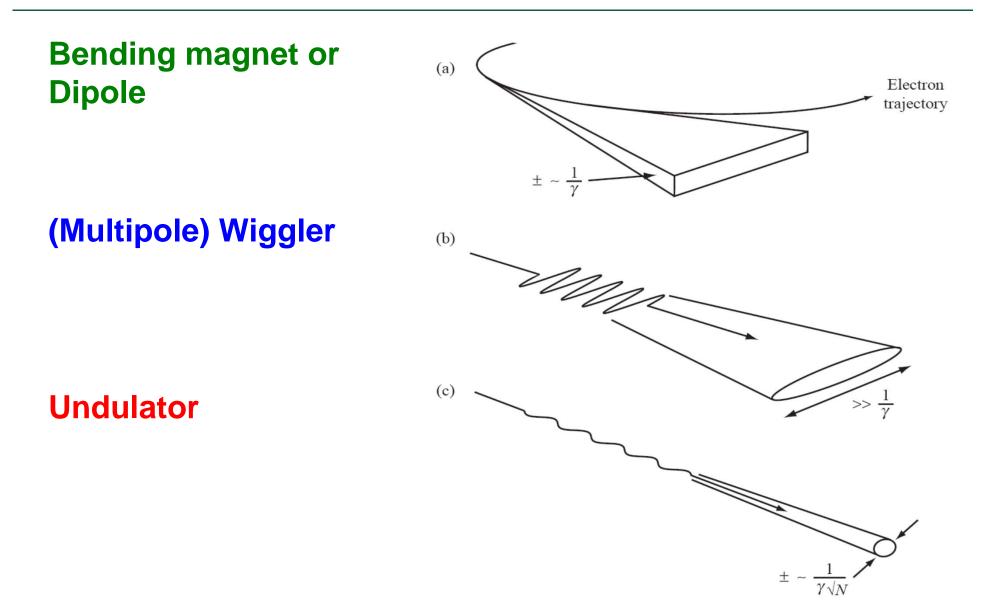
For **DIAMOND**,

R ~ 7.1 m,  $\gamma$  ~ 6000

Wavelength ~ 0.04 nm

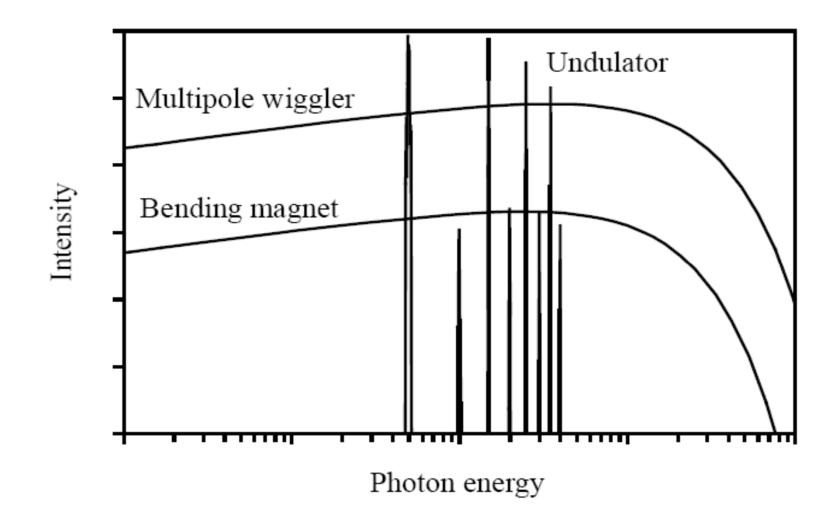


### **Summary of the Three Basic Sources**





#### **A Typical Spectrum**





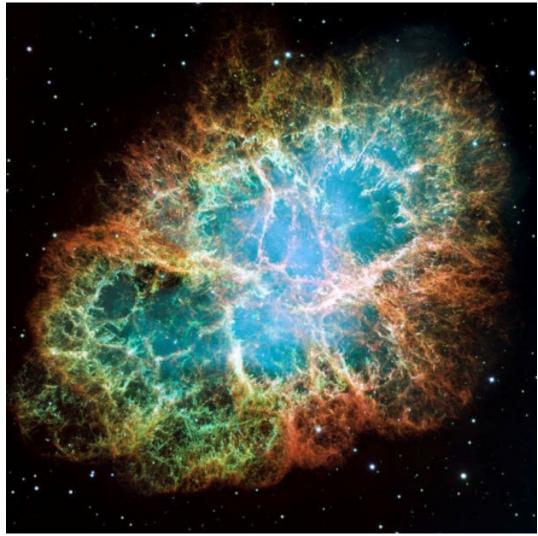


# **Definition of SR**

Synchrotron Radiation is electromagnetic radiation that is emitted by relativistic charged particles due to their acceleration.



#### **The First Ever Recorded Observation**



The Crab nebula is the expanding remains of a star's supernova explosion that was observed by Chinese & Japanese astronomers in the year 1054 AD.

At the heart of the nebula is a rapidly-spinning neutron star, a pulsar, and it powers the strongly polarised bluish 'synchrotron' nebula.

Image taken by the Hubble Space Telescope



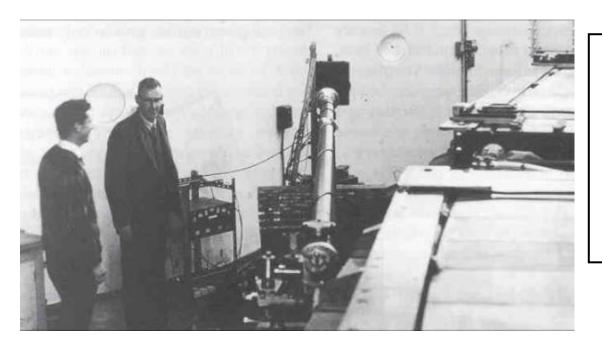
# A Brief History of SR – First Use

## **1**<sup>st</sup> Generation SR sources

Electron synchrotrons start to be built for high energy physics use (rapidly cycling accelerators not Storage Rings!)

There is interest from other physicists in using the "waste" SR

The first users are parasitic



The first beamline on NINA at Daresbury constructed in 1966/67 by Manchester University NINA was a 5 GeV electron synchrotron devoted to particle physics



# A Brief History of SR – Dedicated Facilities

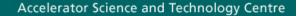
#### 2<sup>nd</sup> Generation SR sources

Purpose built accelerators start to be built – late 70's First users ~1980 (at SRS, Daresbury) Based primarily upon **bending magnet radiation** 



The VUV ring at Brookhaven in 1980 before the beamlines are fitted

Not much room for undulators!



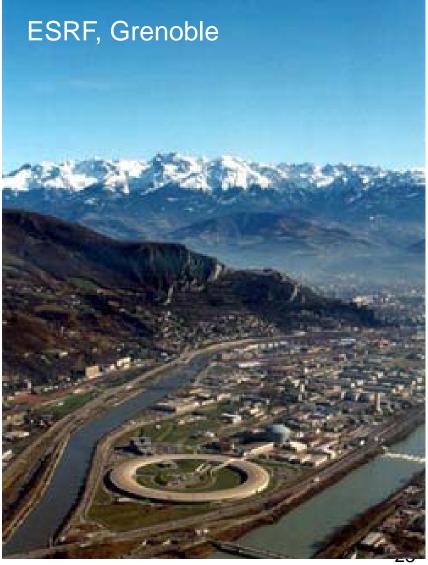


#### A Brief History of SR – Enhanced Facilities

#### **3<sup>rd</sup> Generation SR sources**

Primary light source is now the undulator First built in the late 80's/early 90's First users ~1994



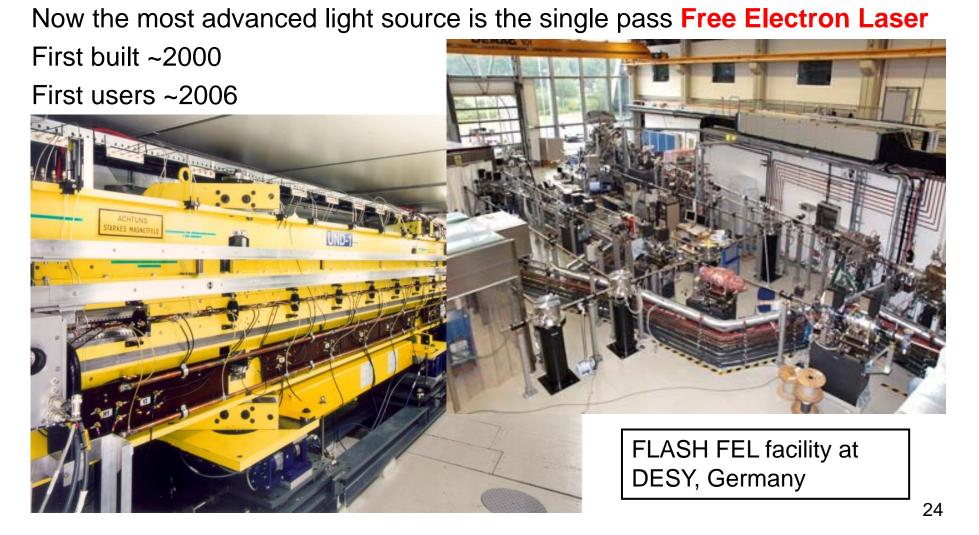




### A Brief History of SR – The Next Generation

#### 4<sup>th</sup> Generation SR sources

FELs are totally reliant upon undulators





# The Impact of X-Rays on Science

# 21 Nobel Prizes so far ...

1901 Rontgen (Physics) 1914 von Laue (Physics) 1915 Bragg and Bragg (Physics) 1917 Barkla (Physics) 1924 Siegbahn (Physics) 1927 Compton (Physics) 1936 Debye (Chemistry) 1946 Muller (Medicine) 1962 Crick, Watson & Wilkins (Medicine) 1962 Perutz and Kendrew (Chemistry 1964 Hodgkin (Chemistry)

1976 Lipscomb (Chemistry)

|    | 1979 | Cormack Hounsfield (Medicine)                |
|----|------|--|
|    | 1981 | Siegbahn (Physics)                           |
|    | 1985 | Hauptman and Karle (Chemistry)               |
|    | 1988 | Deisenhofer, Huber & Michel<br>(Chemistry)   |
|    | 1997 | Boyer and Walker (Chemistry)                 |
|    | 2003 | Agre and Mackinnon (Chemistry)               |
|    | 2006 | Kornberg (Chemistry)                         |
|    | 2009 | Yonath, Steitz & Ramakrishnan<br>(Chemistry) |
| ') | 2012 | Lefkowitz and Kobilka (Chemistry)            |
|    |      |  |

These last 5 all relied on SR, 2 of them used the SRS at Daresbury



# How to formally derive the properties of SR ...

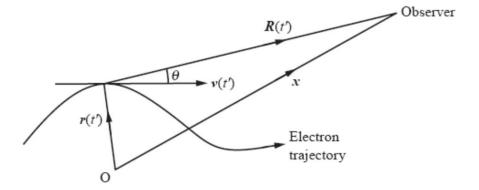
- 1. Consider the geometry of the system for a relativistic electron on an arbitrary trajectory
- 2. Relate the time of **emission** to the time of **observation**
- 3. We know the accelerating charge emits EM radiation
- 4. Use Maxwell's equations to derive the electric and magnetic fields of the EM radiation that the observer sees as a function of time
- 5. Convert this from time to frequency to predict the spectrum of EM radiation that is observed
- Apply these general results to specific cases bending magnets, wigglers and undulators



#### **Electric Field at the Observer**

The electron emits at time t' (retarded or emission time)

The photon (travelling at speed c) arrives at the observer at time t (observation time)



$$\boldsymbol{E}(t) = \frac{e}{4\pi c\epsilon_0} \left( \frac{c(1-\beta^2)(\boldsymbol{n}-\boldsymbol{\beta})}{R^2(1-\boldsymbol{n}\cdot\boldsymbol{\beta})^3} + \frac{\boldsymbol{n}\times((\boldsymbol{n}-\boldsymbol{\beta})\times\dot{\boldsymbol{\beta}})}{R(1-\boldsymbol{n}\cdot\boldsymbol{\beta})^3} \right)_{t'}$$

Where **n** is the unit vector pointing along  $\mathbf{R}(t')$ 

#### When R is large we can ignore the first term





#### The Far Field Case

Ignoring the first term and assuming that **R** does not vary with time (dn/dt=0):

$$\boldsymbol{E}(t) = \frac{e}{4\pi c\epsilon_0} \left( \frac{\boldsymbol{n} \times ((\boldsymbol{n} - \boldsymbol{\beta}) \times \dot{\boldsymbol{\beta}})}{R(1 - \boldsymbol{n} \cdot \boldsymbol{\beta})^3} \right)_{t'}$$

Often see this in text books but remember this only holds in the *far field*. How far away is the *far field*?

Most SR calculations can (and do!) ignore the near field.



# Fourier Transform of the Electric Field (Far Field)

Far field case of electron moving on arbitrary path:

$$\boldsymbol{E}(\omega) = \frac{ie\omega}{4\pi\sqrt{2\pi}\,c\epsilon_0 R} \int_{-\infty}^{\infty} (\boldsymbol{n} \times (\boldsymbol{n} \times \boldsymbol{\beta})) e^{i\omega(t' + \frac{R(t')}{c})} \,dt'$$



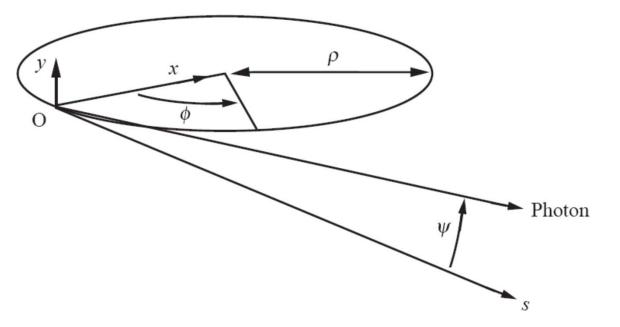
# SR from a Bending Magnet

# A bending magnet is a uniform dipole The electron moves on purely circular path

Angular velocity:

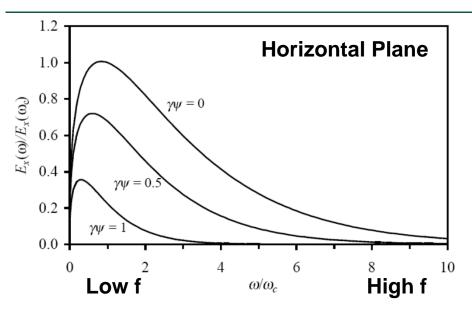
$$\omega_0 = \frac{\beta c}{\rho}$$

 $\boldsymbol{\rho}$  is the bending radius



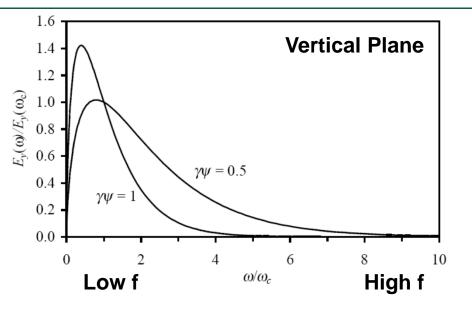


#### Electric Fields in the Horizontal (x) and Vertical (y)



 $\gamma\psi$  is effectively the vertical angle of the radiation

At larger angles, low frequencies (longer wavelengths) become more dominant, short wavelengths are no longer observed



On axis (  $\gamma \psi = 0$  ) there is **zero** vertical Electric field

Only E<sub>x</sub> is observed on axis – the light is polarised completely in the horizontal plane



# Critical Frequency in a Bending Magnet $\omega_c$

If we integrate the power emitted from 0 to  $\omega_c$  then we find that it contains half the total power emitted

$$\omega_c = 3c\gamma^3/2\rho$$

In other words,  $\omega_c$  splits the power spectrum for a bending magnet into two equal halves

It is a useful parameter, and it can be used to *compare* bending magnet sources

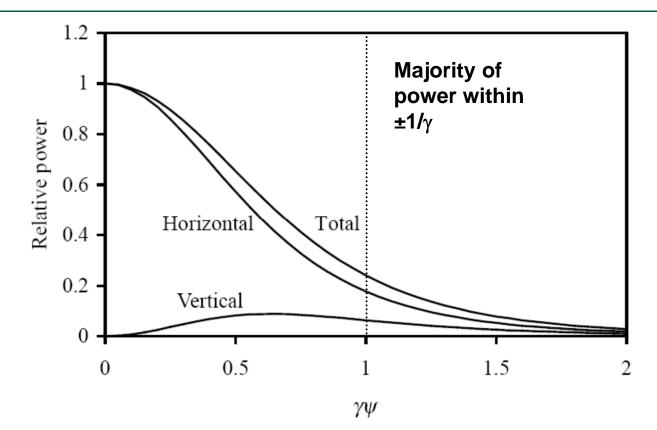
Expressed as a wavelength or a photon energy:

$$\lambda_c = 2\pi c/\omega_c$$

$$\epsilon_c = \hbar \omega_c = \frac{3hc\gamma^3}{4\pi\rho}$$



#### **Vertical Angular Power Distribution**



The maximum power is emitted on axis

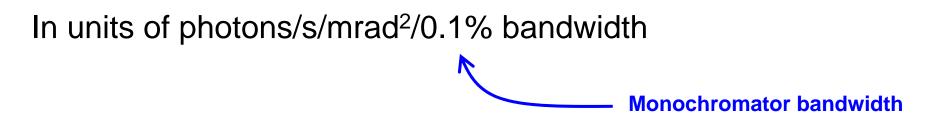
The power is symmetrical with vertical angle There is no vertically polarised power on axis



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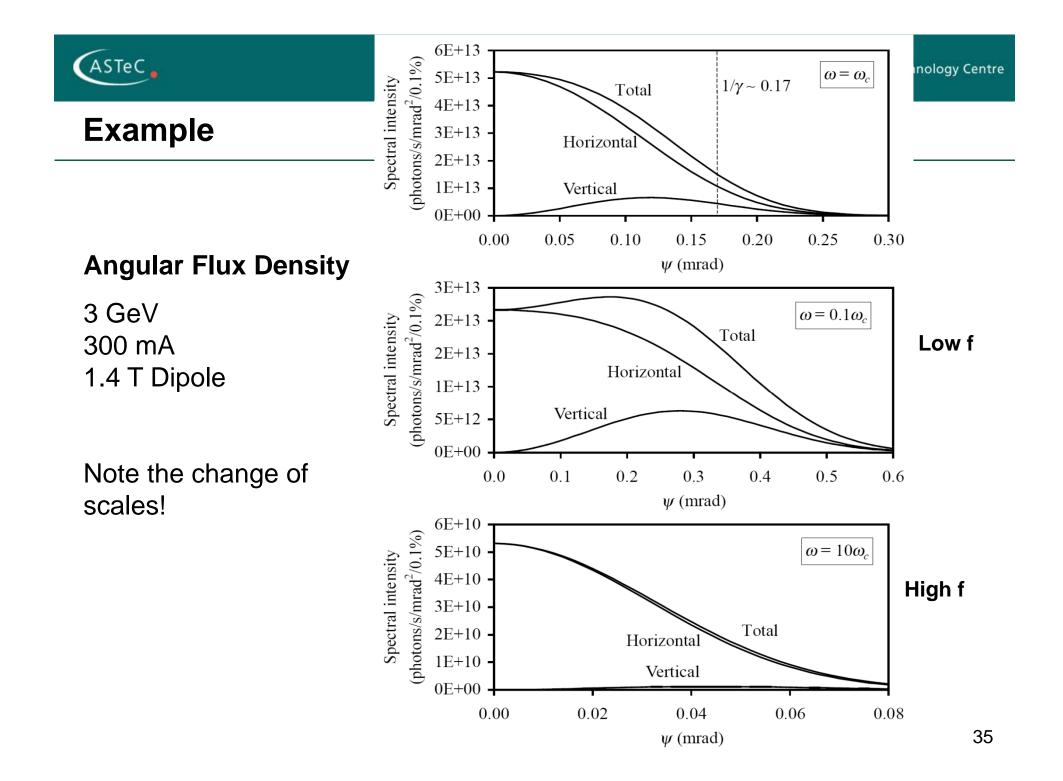
# The On Axis Spectral Angular Flux Density

$$\frac{d\dot{N}}{d\Omega}\bigg|_{\psi=0} = 1.33 \times 10^{13} E^2 I_b \left(\frac{\omega}{\omega_c}\right)^2 K_{2/3}^2 \left(\frac{\omega}{2\omega_c}\right)$$



E is the electron energy in GeV,  $I_{\rm b}$  is the beam current in A

 $K_{2/3}$  is a "modified" Bessel function







#### **Photon Flux**

# The spectral photon flux or the vertically integrated spectral flux is given by

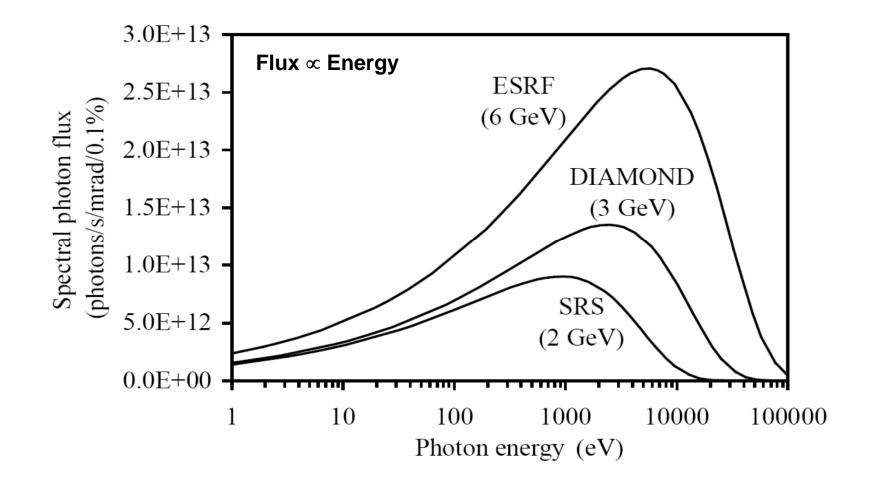
$$\dot{N} = 2.46 \times 10^{13} EI_b \left(\frac{\epsilon}{\epsilon_c}\right) \int_{\epsilon/\epsilon_c}^{\infty} K_{5/3}(u) \, du$$

in units of photons/s/mrad horizontally/0.1% bandwidth



## **Examples for Photon Flux**

log-linear scale, 200mA beam current assumed for all sources





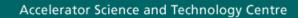
#### Power

Virtually all SR facilities have melted vacuum chambers or other components due to the SR hitting an uncooled surface **The average power is high** but the **power density is very high** – the power is concentrated in a tight beam. The total power emitted by an electron beam in 360° of bending magnets is

$$P_{\text{total}} = 88.46 \, \frac{E^4 I_b}{\rho_0}$$

where the power is in kW, E is in GeV,  $I_b$  is in A,  $\rho_0$  is in m. Other useful values are the power per horizontal angle (in W/mrad) and power density on axis (in W/mrad<sup>2</sup>)

$$\frac{dP}{d\theta} = 14.08 \frac{E^4 I_b}{\rho_0} \qquad \frac{dP}{d\Omega} \Big|_{\psi=0} = 18.08 \frac{E^5 I_b}{\rho_0}$$





#### **Examples**

| Ring           | Energy<br>(GeV) | ho (m) | $I_b$ (mA) | $P_{ m total} \ ( m kW)$ | dP/d	heta (W/mrad) | $dP/d\Omega$<br>(W/mrad <sup>2</sup> ) |
|----------------|-----------------|--------|------------|--------------------------|--------------------|--|
| $\mathbf{SRS}$ | 2               | 5.56   | 200        | 50.9                     | 8.1                | 20.8                                   |
| DIAMOND        | 3               | 7.15   | 300        | 300.7                    | 47.9               | 184.4                                  |
| ESRF           | 6               | 25.0   | 200        | 916.5                    | 145.9              | 1124.0                                 |

All this power has to come from the RF system – very expensive!



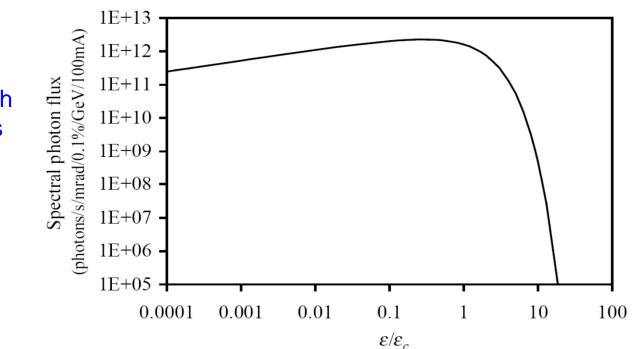
# **Bending Magnet Spectrum**

A plot of  $\dot{N}$  versus  $\epsilon/\epsilon_c$  gives the **universal curve** All flux plots from bending magnets have the same

characteristic shape

Once the **critical energy is known** it is easy to find (scale off) the photon flux

The amplitude changes with E and  $I_b$  so higher energies and higher beam currents give more flux Note the **log-log** scale







#### **Bending Magnet Spectrum**

In a storage ring of fixed energy, the spectrum can be shifted sideways along the photon energy axis if a different critical energy can be generated.

$$\epsilon_c = \hbar \omega_c = \frac{3hc\gamma^3}{4\pi\rho}$$

# Need to change $\rho$ (B Field)

Used especially to shift the rapidly falling edge (high energy photons, short wavelengths)

Special magnets that do this are called wavelength shifters

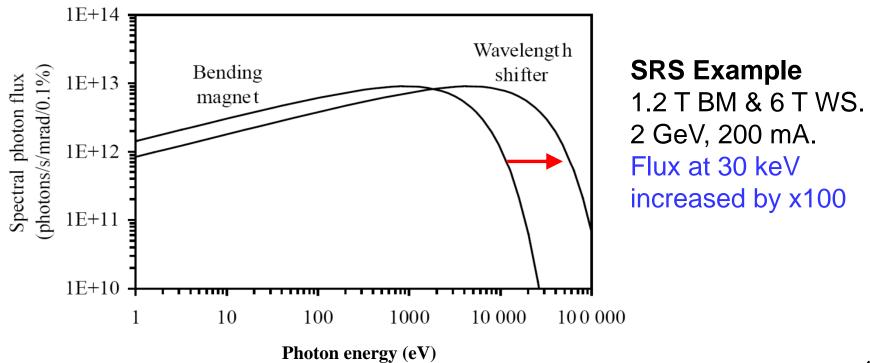
An alternative is to replace individual bending magnets with higher strength ones (superbends) – this is not so popular but it has been done



## **Wavelength Shifters**

# Shift the critical energy by locally changing the bending magnet field

# The shape of the curve is unchanged but the spectrum is shifted





# Summary

# Synchrotron Radiation is emitted by accelerated charged particles

- The combination of Lorentz contraction and the Doppler shift turns the **cm length scale into nm wavelengths** (making SR the best possible source of X-rays)
- Apply Maxwell's equations to the particle, taking care to relate the emitted time to the observed time
- Bending magnet radiation is characterised by a critical frequency
- The power levels emitted can be quite extreme in terms of the total power and also the power density
- Wavelength shifters are used to 'shift' the spectrum so that shorter wavelengths are generated